

Expedited Settlement Offer Worksheet					
Findings and Alleged Violations					
<i>Consult instructions regarding eligibility criteria and procedures prior to use</i>					
1	Legal Name and Mailing Address of Operator	Telephone Number	NPDES Permit Number		
2	Location and Address of Site				
Name of Site Contact (ESO Worksheet recipient):					
Name of Authorized Official (40 CFR 122.22):					
Inspection Date:					
Start Construction Date:					
Estimated Completion Construction Date:					
If Unpermitted, Number of Months Unpermitted:					
Name of Receiving Water Body (Indicate whether 303(d) listed):					
Acres Disturbed (whole common plan):					
Is Site Eligible for Rainfall Erosivity or TMDL Waiver per 44 CFR 122.26(b)(15)?					
		Citation Reference	No. of Violations	Violation Amount	Settlement Offer
3	Operator(s) in control of site specifications unpermitted for _____ months (# of months = # of violations)	CWA 301	0	\$500.00	\$0.00
4	Operator(s) in control of day-to-day activities unpermitted for _____ months (# of months = # of violations)	CWA 301	0	\$500.00	\$0.00
5	SWPPP not prepared (If no SWPPP, leave elements 6 - 31 blank)	CGP 3.1.A	0	\$4,000.00	\$0.00
6	SWPPP prepared but prepared after construction start (# of months = # of violations)	CGP 3.1.A	0	\$75.00	\$0.00
7	SWPPP does not identify all potential sources of pollution to include: porta-pottys, fuel tanks, staging areas, waste containers, chemical storage areas, concrete cure, paints, solvents, etc...	CGP 3.1.B	0	\$250.00	\$0.00
8	SWPPP does not identify all operators for the project site and the areas of the site over which each operator has control	CGP 3.3.A	0	\$500.00	\$0.00
9	SWPPP does not have site description, as follows:				
	A Nature of activity in description	CGP 3.3.B.1	0	\$100.00	\$0.00
	B Intended sequence of major activities	CGP 3.3.B.2	0	\$100.00	\$0.00
	C Total disturbed acreage	CGP 3.3.B.3	0	\$100.00	\$0.00
	D General location map	CGP 3.3.B.4	0	\$100.00	\$0.00
	E Site map	CGP 3.3.C	0	\$500.00	\$0.00
	F Site map does not show drainage patterns, slopes, areas of disturbance, locations of major controls, structural practices shown, stabilization practices, offsite materials, waste, borrow or equipment storage areas, surface waters, discharge points, areas of final stabilization (count each omission under 9F as 1 violation)	CGP 3.3.C 1 - 8	0	\$50.00	\$0.00
	G. Location/description industrial activities, like concrete or asphalt batch plants	CGP 3.3.D	0	\$500.00	\$0.00
10	SWPPP does not:				
	A Describe all pollution control measures (e.g. BMPs)	CGP 3.4.A	0	\$750.00	\$0.00

	B Describe sequence for implementation	CGP 3.4.A	0	\$250.00	\$0.00
	C Detail operator(s) responsible for implementation	CGP 3.4.A	0	\$250.00	\$0.00
11	SWPPP does not describe interim stabilization practices	CGP 3.4.B	0	\$250.00	\$0.00
12	SWPPP does not describe permanent stabilization practices	CGP 3.4.B	0	\$250.00	\$0.00
13	SWPPP does not describe a schedule to implement stabilization practices	CGP 3.4.B	0	\$250.00	\$0.00
14	Following dates are not recorded: major grading activities; construction temporarily or permanently ceased; stabilization measures initiated (count each omission under 14 as 1 violation)	CGP 3.4.C.1-3	0	\$250.00	\$0.00
15	SWPPP does not have description of structural practices to divert flows from exposed soils, retain flows, or limit runoff from exposed areas	CGP 3.4.D	0	\$500.00	\$0.00
16	SWPPP does not have a description of measures that will be installed during the construction process to control pollutants in storm water discharges that will occur AFTER construction operations have been completed	CGP 3.4.E	0	\$500.00	\$0.00
17	SWPPP does not describe measures to prevent discharge of solid materials to waters of the US, except as authorized by 404 permit	CGP 3.4.F	0	\$500.00	\$0.00
18	SWPPP does not describe measures to minimize off-site vehicle tracking and generation of dust	CGP 3.4.G	0	\$500.00	\$0.00
19	SWPPP does not include description of construction or waste materials expected to be stored on site w/updates re: controls used to reduce pollutants from these materials	CGP 3.4.H	0	\$250.00	\$0.00
20	SWPPP does not have description of pollutant sources from areas other than construction (asphalt or concrete plants) w/ updates re: controls to reduce pollutants from these materials	CGP 3.4.I	0	\$500.00	\$0.00
21	SWPPP does not identify allowable sources of non-storm water discharges listed in subpart 1.3.B of the CGP	CGP 3.5	0	\$500.00	\$0.00
22	SWPPP does not identify/ensure implementation of pollution prevention measures for non-storm water discharges	CGP 3.5	0	\$500.00	\$0.00
23	Endangered Species Act documentation is not in SWPPP	CGP 3.7	0	\$500.00	\$0.00
24	Historic Properties (Reserved)	CGP 1.3.C.7			
25	Copy of permit and/or NOI not in SWPPP (count each omission under 25 as 1 violation)	CGP 3.8	0	\$250.00	\$0.00
26	SWPPP is not consistent with requirements specified in applicable sediment and erosion site plans or site permits, or storm water management plans or site permits approved by State, Tribal or local officials (e.g., MS4 requirements)	CGP 3.9	0	\$750.00	\$0.00
27	SWPPP has not been updated to remain consistent with changes applicable to protecting surface waters in State, Tribal or local erosion plans	CGP 3.9	0	\$250.00	\$0.00
28	Copies of inspection reports have not been retained as part of the SWPPP for 3 years from date permit coverage terminates	CGP 3.10.G	0	\$500.00	\$0.00
29	SWPPP has not been updated/modified to reflect change at site effecting discharge, or where inspections identify SWPPP/BMPs as ineffective, updates to SWPPP regarding modifications to BMPs not made within 7 days of such inspection (count each omission under 29 as 1 violation)	CGP 3.11.C	0	\$50.00	\$0.00
30	Copy of SWPPP not retained on site	CGP 3.12.A	0	\$500.00	\$0.00
	A SWPPP not made available upon request	CGP 3.12.C	0	\$500.00	\$0.00
31	SWPPP not signed/certified	CGP 3.12.D	0	\$500.00	\$0.00
32	Inspections not performed either once every 7 days, or once every 14 days and within 24 hours after storm event greater than 0.5 inches or greater (not required if: temp stabilization; runoff unlikely due to winter conditions; construction during arid periods in arid areas)	CGP 3.10.A, 3.10.B	0	\$75.00	\$0.00
33	Inspections not conducted by qualified personnel	CGP 3.10.D	0	\$500.00	\$0.00
34	All areas disturbed by construction activity or used for storage of materials and which exposed to precipitation not inspected	CGP 3.10.E.	0	\$50.00	\$0.00
35	All pollution control measures not inspected to ensure proper operation	CGP 3.10.E.	0	\$500.00	\$0.00
36	Discharge locations are not observed and inspected	CGP 3.10.E.	0	\$50.00	\$0.00
37	For discharge locations that are not accessible, nearby locations are not inspected	CGP 3.10.E.	0	\$50.00	\$0.00

38	Entrance/exit not inspected for off-site tracking	CGP 3.10.E.	0	\$500.00	\$0.00
39	Site inspection report does not include: date, name and qualifications of inspector, weather information, location of sediment/pollutant discharge, BMP(s) requiring maintenance, BMP(s) that have failed, BMP(s) that are needed, corrective action required including changes/updates to SWPPP and schedule/dates (count each omission under 39 as 1 violation)	CGP 3.10.G	0	\$50.00	\$0.00
40	Inspection reports not properly signed/certified (count each failure to sign/certify as 1 violation)	CGP 3.10.G	0	\$50.00	\$0.00
41	Sign/notice not posted	CGP 3.12.B	0	\$250.00	\$0.00
	A Does not contain copy of complete NOI	CGP 3.12.B	0	\$50.00	\$0.00
	B Location of SWPPP or contact person for scheduling viewing times where on-site location for SWPPP unavailable not noted on sign	CGP 3.12.B	0	\$50.00	\$0.00
42	No velocity dissipation devices located at discharge locations or outfall channels to ensure non-erosive flow to receiving water	CGP 3.13.F	0	\$500.00	\$0.00
43	Control measures are not properly:				
	A Selected	CGP 3.13.A	0	\$500.00	\$0.00
	B Installed	CGP 3.13.A	0	\$500.00	\$0.00
	C Maintained	CGP 3.13.A	0	\$500.00	\$0.00
	1. Maintenance not performed prior to next anticipated storm event (count each failure of operator to properly select, install, or maintain each BMP as 1 violation)	CGP 3.6.B	0	\$250.00	\$0.00
44	When sediment escapes the site, it is not removed at a frequency necessary to minimize off-site impacts	CGP 3.13.B	0	\$500.00	\$0.00
45	Litter, construction debris, and construction chemicals exposed to storm water are not prevented from becoming a pollutant source (e.g. screening outfalls, pickup daily, etc.)	CGP 3.13.C	0	\$500.00	\$0.00
46	Stabilization measures are not initiated as soon as practicable on portions of the site where construction activities have temporarily or permanently ceased within 14 days after such cessation	CGP 3.13.D	0	\$500.00	\$0.00
	*Exceptions:				
	(a) Snow or frozen ground conditions	CGP 3.13.D.1			
	(b) Activities will be resumed within 14 days	CGP 3.13.D.2			
	(c) Arid or Semi-arid areas (<20 inches per year)	CGP 3.13.D.3			
47	Common Drainage of 10+ acres does not have a sedimentation basin for the 2 year, 24 hour storm, or 3600 cubic ft. storage per acre drained	CGP 3.13.E.1	0	\$1,000.00	\$0.00
	A Where sedimentation basin not attainable, smaller sediment basins, sediment traps, or erosion controls not implemented for downslope boundaries	CGP 3.13.E.2	0	\$1,000.00	\$0.00
	B Sediment not removed from sediment basin or traps when design capacity reduced by 50% or more	CGP 3.6.C	0	\$500.00	\$0.00
48	Common Drainage less than 10 acres does not have sediment traps, silt fences, vegetative buffer strips, or equivalent sediment controls for all down slope boundaries (not required if sedimentation sediment basin meeting criteria in 47 above)	CGP 3.13.E.3	0	\$500.00	\$0.00
	A Sediment not removed from sediment trap when design capacity reduced by 50% or more	CGP 3.6.C	0	\$500.00	\$0.00
				Total Expedited Settlement:	\$0.00